



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Preston, et al.

Examiner: Unassigned

Serial No.: 10/068,778

Group Art Unit: 2811

Filed: February 5, 2002

Docket: 1025-4

For: MONOLITHIC SURFACE MOUNT  
OPTOELECTRIC DEVICE AND METHOD  
FOR FABRICATING THE DEVICE

Dated: April 26, 2002

Confirmation No.: 7541

Customer No.: 23869

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231  
**ATTN: BOX MISSING PART**

I hereby certify this correspondence is being deposited  
with the United States Postal Service as first class mail,  
postpaid in an envelope, addressed to:  
Commissioner for Patents, Washington, DC 20231  
on April 26, 2002

Signature: Susan L. Toledano  
Susan L. Toledano

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION - FILING DATE GRANTED**

Sir:

In response to the Notice To File Missing Parts Of Nonprovisional Application -  
Filing Date Granted dated February 28, 2002, enclosed herewith are the following:

1. Copy of Notice To File Missing Parts Of Nonprovisional Application - Filing Date  
Granted.
2. Check in the amount of \$1,392.00 covering the surcharge (\$130.00) for filing a late  
Declaration, the application filing fee (\$740.00) and the additional claims fee  
(\$522.00).
3. A Combined Declaration And Power Of Attorney executed by the inventors.

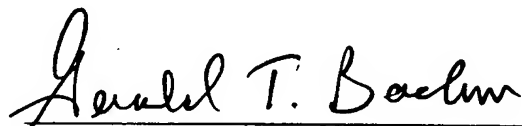
An Assignment document is being concurrently filed with this response in a separate

COPY OF PAPERS  
ORIGINALLY FILED

correspondence to the Assignment Division, along with the required Recordation Form Cover Sheet and fee of \$40.00.

If any additional fees are due or an overpayment has been made, please charge our Deposit Account No. 08-2461 or credit our Deposit Account for such sum. A duplicate copy of this sheet is enclosed for that purpose.

Respectfully submitted,

A handwritten signature in dark ink, reading "Gerald T. Bodner", written over a horizontal line.

Gerald T. Bodner  
Attorney for Applicants  
Registration No. 30,449

Hoffmann & Baron, LLP  
6900 Jericho Turnpike  
Syosset, NY 11791  
(516) 822-3550  
GTB:slt

150363\_1

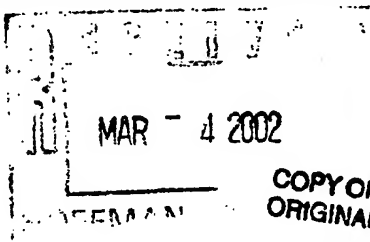


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/068,778	02/05/2002	Robert J. Preston	1025-4

23869  
HOFFMANN & BARON, LLP  
6900 JERICHO TURNPIKE  
SYOSSET, NY 11791



CONFIRMATION NO. 7541

FORMALITIES LETTER



\*OC00000007555656\*

COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 02/28/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/06/2002 SMINASS1 00000053 10068778

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 OP
02 FC:103	522.00 OP
03 FC:105	130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$522.  
    ■ \$522 for 29 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1392.

*A copy of this notice **MUST** be returned with the reply.*

*Forney A*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE